

	<b>Satisfactorily Applied</b>	<b>Not Applied</b>	<b>Not Applicable</b>
<p><b>PRINCIPLE 1:</b></p> <p><i>The governing body should lead ethically and effectively</i></p>	✓		
<p><b>PRINCIPLE 2:</b></p> <p><i>Govern the ethics of the organisation in a way that supports the establishment of an ethical culture</i></p>	✓		
<p><b>PRINCIPLE 3:</b></p> <p><i>Ensure that the organisation is and is seen to be a responsible corporate citizen</i></p>	✓		
<p><b>PRINCIPLE 4:</b></p> <p><i>The governing body should appreciate that the organisation’s core purpose, its risks and opportunities, strategy, business model, performance and sustainable development are all inseparable elements of the value creation process</i></p>	✓		
<p><b>PRINCIPLE 5:</b></p> <p><i>The governing body should ensure that reports issued by the organisation enable stakeholders to make informed assessments of the organisation’s performance, and its short, medium and long-term prospects</i></p>	✓		
<p><b>PRINCIPLE 6:</b></p> <p><i>The governing body should serve as the focal point and custodian of the corporate governance in the organization</i></p>	✓		

	Satisfactorily Applied	Not Applied	Not Applicable
<p><b>PRINCIPLE 7:</b></p> <p><i>The governing body should comprise the appropriate balance of knowledge, skills, experience, diversity and independence for it to discharge its governance role and responsibilities objectively and effectively</i></p>	✓		
<p><b>PRINCIPLE 8:</b></p> <p><i>The governing body should ensure that its arrangements for delegation within its own structures promote independent judgement, and assist with balance of power and the effective discharge of its duties</i></p>	✓		
<p><b>PRINCIPLE 9:</b></p> <p><i>The governing body should ensure that the evaluation of its own performance and that of its committees, its chair and its individual members, support continued improvement in its performance and effectiveness</i></p>	✓		
<p><b>PRINCIPLE 10:</b></p> <p><i>The governing body should ensure that the appointment of, and delegation to, management contribute to role clarity and effective exercise of authority and responsibilities</i></p>	✓		
<p><b>PRINCIPLE 11:</b></p> <p><i>The governing body should govern risk in a way that supports the organisation in setting and achieving its strategic objectives</i></p>	✓		

	Satisfactorily Applied	Not Applied	Not Applicable
<p><b>PRINCIPLE 12:</b></p> <p><i>The governing body should govern technology and information in a way that supports the organisation setting and achieving its strategic objectives</i></p>	✓		
<p><b>PRINCIPLE 13:</b></p> <p><i>The governing body should govern compliance with applicable laws and adopted, non-binding rules, codes and standards in a way that it supports the organisation being ethical and a good corporate citizen</i></p>	✓		
<p><b>PRINCIPLE 14:</b></p> <p><i>The governing body should ensure that the organisation remunerates fairly, responsibly and transparently so as to promote the achievement of strategic objectives and positive outcomes in short, medium and long term</i></p>	✓		
<p><b>PRINCIPLE 15:</b></p> <p><i>The governing body should ensure that assurance services and functions enable an effective control environment, and that these support the integrity of information for internal decision making and of the organisation's external reports</i></p>	✓		
<p><b>PRINCIPLE 16:</b></p> <p><i>In the execution of its governance roles and responsibilities, the governing body should adopt a stakeholder-inclusive approach that balances the needs, interests and expectations of material stakeholders in the best interests of the organisation over time</i></p>	✓		

**Satisfactorily  
Applied**

**Not  
Applied**

**Not  
Applicable**

**PRINCIPLE 17:**

*The governing body of an institutional investor organisation should ensure that responsible investment is practiced by the organisation to promote good governance and the creation of value by the companies in which it invests*